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6 **UNITED STATES DISTRICT COURT**
7 **EASTERN DISTRICT OF CALIFORNIA**
8

9 STEPHEN MCQUENNIE,

Case No. 1:22-cv-00557-JLT-SKO

10 Plaintiff,

11 v.

**ORDER DISCHARGING ORDER TO
SHOW CAUSE, DENYING MOTIONS,
AND GRANTING PLAINTIFF LAST
OPPORTUNITY TO FILE FIRST
AMENDED COMPLAINT**

13 WELLS FARGO INSTITUTIONAL
14 RETIREMENT SERVICES, et. al.,

(Docs. 8–12)

15 Defendants.

THIRTY DAY DEADLINE

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17 On May 10, 2022, Plaintiff Stephen McQuennie, proceeding *pro se* and *in forma pauperis*,
18 filed an action against Defendants Wells Fargo Institutional Retirement Services and Principal
19 Financial Group. (Doc. 1.) On August 12, 2022, the undersigned issued a screening order finding
20 that Plaintiff failed to state any cognizable claims and advised him that he had two options as to how
21 to proceed: Plaintiff could file either (1) an amended complaint within thirty days, which would be
22 screened in due course; or (2) a statement that he wished to stand on the initial complaint and have
23 it reviewed by the presiding district judge, in which case the Court would issue findings and
24 recommendations to the district judge consistent with the First Screening Order. (Doc. 5.)

25 On September 2, 2022, Plaintiff filed a document titled “First Amended Complaint,” but the
26 substance of the document appeared to indicate that he wished to stand on his initial complaint. (See
27 Doc. 6 at 2 (Plaintiff stating, “I do believe however, that my case has merit as stated in [t]he original
28 complaint . . . ”). Given the lack of clarity as to Plaintiff’s desired course of action, on September 6,

1 2022, the undersigned ordered that, by September 20, 2022, Plaintiff was to file either (1) an
2 amended complaint, which would be captioned “First Amended Complaint” and would be complete
3 in itself without reference to the prior pleading; or (2) a statement that Plaintiff sought to stand on
4 the initial complaint and have it reviewed by the presiding district judge. (Doc. 7.) Because it
5 appeared that Plaintiff was not served with the September 6, 2022, minute order, on September 21,
6 2022, the undersigned directed the Clerk of Court to serve copies of both minute orders at Plaintiff’s
7 address of record and ordered Plaintiff to pursue one of the two options by no later than October 6,
8 2022. (Doc. 8.) Plaintiff did not file either document, nor did he request an extension of time in
9 which to do so.

10 On October 18, 2022, an order issued for Plaintiff to show cause (“OSC”) within twenty-
11 one days why the action should not be dismissed for his failure to comply with the Court’s screening
12 order. (Doc. 9.) Plaintiff was warned in the OSC that the failure to comply with the Court’s order
13 would result in a recommendation to the presiding district judge of the dismissal of this action. (*Id.*)

14 On November 17, 2022, Plaintiff filed a notice acknowledging his failure to take action by
15 the deadline set forth in the OSC. (Doc. 10 at 1–3.) In his notice, Plaintiff states his intention “[t]o
16 reinstate what was said in the complaint earlier about Wells Fargo Bank.” (*Id.* at 6.) He also filed
17 two motions: the first seeks leave of court to dismiss Defendant Principal Financial Group (Doc.
18 11); the second seeks to add Wells Fargo Bank as a defendant (Doc. 12). (*See also* Doc. 10 at 5–8.)

19 In view of the good cause shown, the Court will DISCHARGE the OSC and will permit
20 Plaintiff one final opportunity to file a first amended complaint. Plaintiff’s motions, which the Court
21 construes as having been filed pursuant to Federal Rule of Civil Procedure 41(a), will be DENIED.
22 Rule 15, not Rule 41(a), is the proper mechanism by which Plaintiff can dismiss or add parties to
23 the action. *See Hells Canyon Preservation Council v. U.S. Forest Service*, 403 F.3d 683, 687 (9th
24 Cir. 2005) (“In the specific context of Rule 41(a)(1), we have held that the Rule does not allow for
25 piecemeal dismissals. Instead, withdrawals of individual claims against a given defendant are
26 governed by Fed. R. Civ. P. 15, which addresses amendments to pleadings.”). Plaintiff is advised
27 that he is free to omit Principal Financial Group from, and add Wells Fargo to, his first amended
28 complaint, should he choose to file one.

1 Accordingly, for the foregoing reasons, IT IS HEREBY ORDERED that:

- 2 1. The OSC entered October 18, 2022 (Doc. 9) is DISCHARGED;
- 3 2. Plaintiff's "Motion to Dismiss Principal Financial Group" (Doc. 11) is DENIED;
- 4 3. Plaintiff's "Motion to the Court Seeking Leave of Court to Add Wells Fargo Bank
- 5 as a Defendant" (Doc. 12) is DENIED;
- 6 4. Plaintiff is granted leave **one last and final time** to file a first amended complaint;
- 7 5. Within thirty days (30) days from the date of service of this order, Plaintiff SHALL
- 8 either file:
 - 9 a. a first amended complaint pursuant to Federal Rule of Civil Procedure 15
 - 10 curing the deficiencies identified by the Court in its August 12, 2022,
 - 11 screening order, which will again be screened in due course, or
 - 12 b. a notice of voluntary dismissal of his case pursuant to Federal Rule of Civil
 - 13 Procedure 41(a);
- 14 6. The Court DIRECTS the Clerk of Court to send (a) a copy of this Order, and (b) the
- 15 Court's screening order (Doc. 5) to Plaintiff at his address listed on the docket for
- 16 this matter; and
- 17 7. **Failure by Plaintiff to comply with this order will result in a recommendation**
- 18 **that this action be dismissed in its entirety pursuant to Federal Rule of Civil**
- 19 **Procedure 41(b) and E.D. Cal. Local Rules 110 and 183(a).**

20 IT IS SO ORDERED.

21 Dated: November 18, 2022

22 /s/ Sheila K. Oberto
23 UNITED STATES MAGISTRATE JUDGE